

ENTIRE CYCLIC HOMOLOGY OF SCHATTEN IDEALS

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Certain cocycles constructed by Connes are characters of p -summable Fredholm modules defined over a \mathbb{C} -algebra A [2, 3]. In this article, we establish some consequences of the universal properties which these characters enjoy. Let \mathfrak{L}^p denote the p -th Schatten ideal, with $1 \leq p < \infty$. The characters live in the following commutative diagrams. In the case of even Fredholm modules we have

$$\begin{array}{ccc} \mathrm{HE}^0(\mathfrak{L}^p) & \longrightarrow & \mathrm{HE}^0(A) \\ \simeq \uparrow & & \uparrow \\ \mathrm{HP}^0(\mathfrak{L}^p) & \longrightarrow & \mathrm{HP}^0(A) \end{array}$$

while in the case of odd Fredholm modules the corresponding diagram is

$$\begin{array}{ccc} \mathrm{HE}^0(\mathfrak{L}^p) & \longrightarrow & \mathrm{HE}^1(A) \\ \simeq \uparrow & & \uparrow \\ \mathrm{HP}^0(\mathfrak{L}^p) & \longrightarrow & \mathrm{HP}^1(A) \end{array}$$

Our main technical result is that the entire cyclic cohomology $\mathrm{HE}^*(\mathfrak{L}^p)$ is independent of p and isomorphic to the entire cyclic cohomology of the ideal of trace class operators \mathfrak{L}^1 :

$$\mathrm{HE}^*(\mathfrak{L}^p) \simeq \mathrm{HE}^*(\mathfrak{L}^1)$$

We also have

$$\mathrm{HE}^0(\mathfrak{L}^1) \simeq \mathbb{C} \quad \text{and} \quad \mathrm{HE}^1(\mathbb{C}) = 0$$

We establish companion statements in the entire cyclic homology.

In the context of the above diagram, each character is the image of a generator of the one-dimensional space $\mathrm{HP}^0(\mathfrak{L}^1)$. Ultimately, each character originates in this way.

This observation relies on a detailed analysis of the entire cyclic cohomology of Schatten ideals \mathfrak{L}^p , which forms the main technical result of the paper. This study is made possible by results of Meyer [15], who put the entire cyclic cohomology in a more universal bivariant setting. A key new ingredient in his approach is the use of *bornology* instead of topology. He shows that the bornology encodes the classical growth

condition in the entire cyclic theory [15, Thm. 3.47]. At the level of cohomology this results in replacing continuous cochains by bounded ones.

Thanks to our calculations in Section 3, the method of Cuntz [6, p. 40] can be adapted to the entire theory. Cuntz's method, which he applied in the case of kk -theory and bivariant periodic cyclic homology, relies on certain key algebraic properties of those theories and on the fact that they both satisfy excision. We show that similar properties can be established in the entire case.

The kk -theory of Cuntz [5] is universal among all bivariant functors which are stable, half-exact, and invariant with respect to smooth homotopies [6, p. 48]. Thanks to the work of Cuntz [5, Satz 6.12, p. 173], we have

$$kk_0(\mathbb{C}, \mathcal{L}^1) = \mathbb{C}.$$

Thus generators of $HP^0(\mathcal{L}^1)$ and $HE^0(\mathcal{L}^1)$ are the images, via the Chern characters, of generators of $kk_0(\mathbb{C}, \mathcal{L}^1)$.

1. SPACES WITH BORNOLOGY

A *bornology* on a set X is a family \mathcal{B} of subsets of X which is stable under the formation of subsets and finite unions [1]. Elements of \mathcal{B} will be called *bounded* sets. A *base* of a bornology \mathcal{B} is a subfamily \mathcal{B}_0 of \mathcal{B} with the property that any element of \mathcal{B} is contained in some element of \mathcal{B}_0 . A map $f : (X, \mathcal{B}) \rightarrow (Y, \mathcal{B}')$ of bornological spaces is *bounded* if and only if for any $B \in \mathcal{B}$, $f(B) \in \mathcal{B}'$.

A bornology on a vector space E is said to be compatible with the vector space structure iff the vector addition $E \times E \rightarrow E$ and multiplication by scalars $\mathbb{C} \times E \rightarrow E$ are bounded maps of bornological spaces.

When the vector space E is equipped with a topology, e.g. E is a locally convex topological vector space, then there is a canonical bornology associated with the topology consisting of all sets which are absorbed by all neighbourhoods of zero. This is the so called von Neumann bornology. However, one can equip the vector space E with a bornology which does not arise from the topology of E . One consequence of this is that the class of bounded linear maps on E will be different in general from the class of continuous maps.

The vector space E is called a convex bornological space if it is equipped with a bornology whose base consists of convex sets. In this case the base can be assumed to consist of balanced convex sets, which will be called *discs*. If D is a bounded disc in E we denote by E_D the vector space generated by D and equipped with the seminorm given by

the gauge of D . When E is a Hausdorff space, E_D is a normed space. The spaces E_D form an inductive system indexed by the directed family of bounded discs and E is the direct limit of this system.

We shall say that a disc D is pre-complete iff the space E_D is complete. Thus when E is Hausdorff, E_D is a Banach space. We shall say that E is a *complete bornological* space iff its bornology admits a base consisting of pre-complete discs (cf. [12, Def. IV.2.1]). A bornological space E is complete iff it is the inductive limit of an *injective* inductive system of Banach spaces [12, IV.2.3] [15, Theorem A.4]. Every bornological space E admits a bornological completion, but this operation is less well behaved than the usual completion of a uniform space (see [12, Chapter 4] [15, Appendix A] for a full discussion).

We say that A is a bornological algebra iff it is equipped with a vector space bornology with respect to which the product map $A \times A \rightarrow A$ is bounded. A complete bornological algebra is one that is complete as a bornological vector space.

The construction of cyclic type homology theories requires the use of tensor products of algebras. In the study of cohomology theories like the entire cyclic cohomology of Banach algebras it is important to have control over bounded sets in the tensor product of algebras. However, as is well known from the work of Grothendieck [10, Problème des Topologies, p. 33], there is in general no obvious relation between bounded sets in the tensor product and bounded sets in the algebra. A possible resolution of this problem, which works well in some situations, is to define completed tensor products with respect to a given bornology rather than topology.

The bornological tensor product of two bornological spaces (E_1, \mathcal{B}_1) and (E_2, \mathcal{B}_2) is by definition the algebraic tensor product $E_1 \otimes E_2$ equipped with the bornology whose base consists of balanced convex hulls of sets of the form $B_1 \otimes B_2$, where $B_1 \in \mathcal{B}_1$ and $B_2 \in \mathcal{B}_2$. The completed bornological tensor product $E_1 \hat{\otimes} E_2$ is by definition the bornological completion of $E_1 \otimes E_2$ with respect to this bornology.

For example, the completed bornological tensor product of two Fréchet spaces equipped with the precompact bornology is isomorphic to the completed projective tensor product of the two spaces [15, Theorem 2.29].

When V_1 and V_2 are *nuclear* LF -spaces regarded as bornological spaces with the von Neumann bornology then the completed bornological tensor product $V_1 \hat{\otimes} V_2$ is isomorphic to the inductive tensor product $V_1 \bar{\otimes} V_2$ of Grothendieck, see [15, Cor. 2.30, p. 15].

2. THE X -COMPLEX

All cyclic type homology theories of an algebra A are defined using a $\mathbb{Z}/2\mathbb{Z}$ -graded complex associated with A which, loosely speaking, is constructed using a certain deformation of the tensor algebra of A . We describe this construction first in the case of an algebra A without any topology or bornology.

We recall the differential graded algebra of differential forms ΩA associated with A . By definition, ΩA is generated by elements of A together with symbols da , for a in A , such that da is linear in a and satisfies the Leibniz rule $d(ab) = (da)b + adb$. If the algebra A is unital with unit 1, it is not assumed that $d(1) = 0$. As a consequence, in degree n , $\Omega^n A = A^{\otimes n+1} \oplus A^{\otimes n}$. Elements of $\Omega^n A$ are linear combinations of differential forms $a_0 da_1 \dots da_n$ and $da_1 \dots da_n$, with a_i in A . The graded space ΩA is turned into a differential complex by means of two operators

$$\mathbf{b} = \begin{pmatrix} b & 1 - \lambda \\ 0 & -b' \end{pmatrix}, \quad \mathbf{B} = \begin{pmatrix} 0 & 0 \\ N & 0 \end{pmatrix}$$

where the operators b', b, λ, N have their usual meaning, c.f. [6, p.9].

For any algebra A we define the X -complex $X(A)$ of A to be the $\mathbb{Z}/2\mathbb{Z}$ -graded complex

$$A \begin{matrix} \xrightarrow{\mathfrak{h}d} \\ \xleftarrow{b} \end{matrix} \Omega^1 A_{\mathfrak{h}}$$

where $\Omega^1 A_{\mathfrak{h}} = \Omega^1 A / [A, \Omega^1 A] = \Omega^1 A / b(\Omega^2 A)$, and $\mathfrak{h} : \Omega^1 A \rightarrow \Omega^1 A_{\mathfrak{h}}$ is the canonical projection map, compare [6, p. 21].

In order to obtain an interesting homology theory we need to apply the above construction to the *non-unital* tensor algebra TA of the algebra A . While it seems at first sight that this will lead to a huge and unwieldy object, it turns out in fact, thanks to the following result [8, Theorem 5.5], that the resulting complex is a deformation of the mixed complex $(\Omega A, \mathbf{b}, \mathbf{B})$. A starting point for the proof is a remark that the tensor algebra of any algebra A can be identified with the even part of the algebra ΩA equipped with the Fedosov product

$$\omega \circ \eta = \omega \eta - (-1)^{\deg \omega} d\omega d\eta.$$

Proposition 2.1. ([8][6, Thm. 2.29, p. 24]) *For any algebra A the X -complex of the tensor algebra TA of A is isomorphic to a complex of the form*

$$\Omega^{ev} A \begin{matrix} \xrightarrow{\delta} \\ \xleftarrow{\beta} \end{matrix} \Omega^{odd} A$$

where the differentials β and δ can be explicitly determined in terms of differentials \mathbf{b} and \mathbf{B} .

Let us assume that A is a complete bornological algebra. Then ΩA becomes a bornological algebra with bornology whose base is given by balanced convex hulls of the sets $SdS \dots dS$ and $dS \dots dS$, where S is an element of the bornology on A . We denote by $\Omega_{\text{an}} A$ the completion of ΩA with respect to this bornology. This is a $\mathbb{Z}/2\mathbb{Z}$ -graded complex with the same differentials \mathbf{b} and \mathbf{B} , which are now bounded maps. The even part of the algebra $\Omega_{\text{an}} A$, equipped with the Fedosov product, is by definition the analytic tensor algebra $\mathcal{T}A$ of A . This algebra fits into the algebra extension

$$0 \rightarrow \mathcal{J}A \rightarrow \mathcal{T}A \rightarrow A \rightarrow 0$$

The X -complex of the tensor algebra $\mathcal{T}A$ is defined in the same way as before.

Definition 2.2. The bivariant entire cyclic homology of a pair of bornological algebras A and B is by definition

$$\text{HE}_*(A, B) = H_*(\text{Hom}(X(\mathcal{T}A), X(\mathcal{T}B)))$$

where $\text{Hom}(X(\mathcal{T}A), X(\mathcal{T}B))$ denotes the complex of bounded linear maps from $X(\mathcal{T}A)$ to $X(\mathcal{T}B)$. This complex is equipped with the differential $[\partial, \phi] = \partial \circ \phi - (-1)^{\deg \phi} \phi \circ \partial$, where $\partial = \mathbf{b} + \mathbf{B}$ [6, p. 57][15, p. 37].

This construction is due to Meyer, who proves that this bivariant cyclic homology satisfies excision in both variables [15] [6, Thm. 5.4]. More precisely, we have the following.

Theorem 2.3. *Let $0 \rightarrow S \rightarrow P \rightarrow Q \rightarrow 0$ be an extension of complete bornological algebras which admits a bounded linear section. Assume further that A is a complete bornological algebra. Then we have the following natural exact sequences of length six.*

$$\begin{array}{ccccc}
 & \text{HE}_0(A, S) & \longrightarrow & \text{HE}_0(A, P) & \longrightarrow & \text{HE}_0(A, Q) \\
 (1) & \uparrow \mathbf{d}_1 & & & & \downarrow \mathbf{d}_1 \\
 & \text{HE}_1(A, Q) & \longleftarrow & \text{HE}_1(A, P) & \longleftarrow & \text{HE}_1(A, S) \\
 & & & & & \\
 & \text{HE}_0(S, A) & \longleftarrow & \text{HE}_0(P, A) & \longleftarrow & \text{HE}_0(Q, A) \\
 (2) & \downarrow \mathbf{d}_2 & & & & \uparrow \mathbf{d}_2 \\
 & \text{HE}_1(Q, A) & \longrightarrow & \text{HE}_1(P, A) & \longrightarrow & \text{HE}_1(S, A)
 \end{array}$$

Moreover, HE is invariant with respect to differentiable homotopies whose first derivative is integrable. Meyer also proves that when A

is a Banach algebra then $\mathrm{HE}_*(A, \mathbb{C})$ is the same as the entire cyclic cohomology $\mathrm{HE}^*(A)$ of A as defined by Connes [16, 4.1]

A very important property of HE is the existence of the composition product, which is defined as in the case of bivariant periodic cyclic homology by composition of linear chain maps. For any three bornological algebras A_1 , A_2 and A_3 there is a bilinear product:

$$\mathrm{HE}_i(A_1, A_2) \times \mathrm{HE}_j(A_2, A_3) \rightarrow \mathrm{HE}_{i+j}(A_1, A_3)$$

given by $f \cdot g = g \circ f$. A detailed study of this product will provide formulae for the connecting homomorphisms in the exact sequences of Theorem 2.3.

3. ENTIRE CYCLIC COHOMOLOGY OF SCHATTEN IDEALS

The aim of this section is the following

Theorem 3.1. *Let \mathfrak{L}^p and \mathfrak{L}^q be two Schatten ideals, $1 \leq p < q$. Then the inclusion $\mathfrak{L}^p \rightarrow \mathfrak{L}^q$ induces an invertible element in $\mathrm{HE}_*(\mathfrak{L}^p, \mathfrak{L}^q)$. Consequently, the entire cyclic homology and cohomology of the two algebras are isomorphic:*

$$\mathrm{HE}^*(\mathfrak{L}^p) = \mathrm{HE}^*(\mathfrak{L}^q); \quad \mathrm{HE}_*(\mathfrak{L}^p) = \mathrm{HE}_*(\mathfrak{L}^q)$$

In the context of algebraic periodic cyclic homology [6] and in kk -theory this result was first proved by Cuntz [5]. The proof outlined here follows the same strategy, which relies on algebraic features of bivariant cohomology theories. To make sure that this translation works, we need to prove a number of technical results which provide the necessary formal properties of the bivariant entire cyclic homology.

Let E denote the following extension of complete bornological algebras.

$$E : \quad 0 \rightarrow S \xrightarrow{i} P \xrightarrow{p} Q \rightarrow 0$$

We shall assume that this sequence is split, i.e. there exists a bounded linear map $s : Q \rightarrow P$ which is a right inverse for the projection p .

The excision property of the bivariant cyclic homology HE_* implies that there are the following two exact sequences

$$\begin{aligned} \rightarrow \mathrm{HE}_*(P, S) \rightarrow \mathrm{HE}_*(S, S) \xrightarrow{\delta_1} \mathrm{HE}_{*+1}(Q, S) \rightarrow \\ \rightarrow \mathrm{HE}_*(Q, P) \rightarrow \mathrm{HE}_*(Q, Q) \xrightarrow{\delta_2} \mathrm{HE}_{*+1}(Q, S) \rightarrow \end{aligned}$$

Denote by 1_Q the class in $\mathrm{HE}_0(Q, Q)$ induced by the identity map on the algebra Q and, similarly, 1_S will denote the class of the identity map on S . The following lemma is a translation of a result of Kassel [13, Lemme 2.2] in the case of his bivariant cyclic cohomology. An

analogous result has been proved in the case of bivariant periodic cyclic cohomology by Cuntz and Quillen [9][6, Prop. 2.51, p.33].

Proposition 3.2. *If δ_1 and δ_2 denote the connecting homomorphisms in the preceding two long exact sequences, then*

$$\delta_1(1_S) = -\delta_2(1_Q) \in \text{HE}_1(Q, S)$$

Proof. To simplify notation, for any two $\mathbb{Z}/2\mathbb{Z}$ -graded complexes C and D , we shall write $H_*(C, D)$ for the homology $H_*(\text{Hom}(C, D))$.

The projection p induces a map of complexes $X(\mathcal{T}P) \rightarrow X(\mathcal{T}Q)$. Let us denote by $X(P, Q)$ the kernel of this map, so that we have an exact sequence of $\mathbb{Z}/2\mathbb{Z}$ graded complexes

$$(\alpha) : 0 \rightarrow X(P, Q) \rightarrow X(\mathcal{T}P) \rightarrow X(\mathcal{T}Q) \rightarrow 0.$$

By the universal properties of the non-unital tensor algebra and the X -complex, a linear splitting s of the sequence E induces a linear splitting of the sequence (α) [15, 3.3.2] which will also be denoted s . This splitting in turn determines the odd degree map $[\partial, s] = \partial \circ s - s \circ \partial$ with the properties $p[\partial, s] = 0$ and $[\partial, [\partial, s]] = 0$. Thus $[\partial, s]$ determines an element in $H_1(X(\mathcal{T}Q), X(P, Q))$ which will be denoted γ . This class does not depend on the choice of the linear section s . Indeed, any two \mathbb{C} -splittings can be connected by a linear path $(1 - t)s + ts'$. Now:

$$[\partial, (1 - t)s + ts'] = (1 - t)[\partial, s] + t[\partial, s']$$

gives a (differentiable) homotopy between the corresponding cycles.

Furthermore, there is the induced sequence of $\mathbb{Z}/2\mathbb{Z}$ -graded complexes

$$\begin{aligned} 0 \rightarrow \text{Hom}(X(\mathcal{T}Q), X(P, Q)) &\rightarrow \text{Hom}(X(\mathcal{T}P), X(P, Q)) \\ &\rightarrow \text{Hom}(X(P, Q), X(P, Q)) \rightarrow 0 \end{aligned}$$

If we now apply the homology functor we obtain an exact sequence of length six. The two connecting homomorphisms

$$H_j(X(P, Q), X(P, Q)) \xrightarrow{\gamma} H_{j+1}(X(\mathcal{T}Q), X(P, Q)),$$

for $j = 0, 1$, in the resulting homology sequence are both given by multiplication by γ .

The inclusion map $i : X(\mathcal{T}S) \rightarrow X(\mathcal{T}P)$ satisfies $pi = 0$ and so can be regarded as a map $i : X(\mathcal{T}S) \rightarrow X(P, Q)$. Since this map is induced from an algebra homomorphism, it is a 0-cycle and so creates an element $i \in H_0(X(\mathcal{T}S), X(P, Q))$. A key step in the proof of excision in HE (Theorem 2.3) is the fact that i is invertible [15], so that there exists $i^{-1} \in H_0(X(P, Q), X(\mathcal{T}S))$.

For any $\mathbb{Z}/2\mathbb{Z}$ -graded complex C the composition product gives a map

$$H_j(X(\mathcal{TS}), X(P, Q)) \otimes H_k(X(P, Q), C) \rightarrow H_{j+k}(X(\mathcal{TS}), C).$$

Thus taking the product on the left by the invertible element i of degree 0 establishes an isomorphism

$$i \cdot : H_j(X(P, Q), C) \xrightarrow{\simeq} H_j(X(\mathcal{TS}), C).$$

If we now recall that $HE_*(S, S) = H_*(X(\mathcal{TS}), X(\mathcal{TS}))$ and use the triple product

$$\begin{aligned} H_0(X(P, Q), X(\mathcal{TS})) \otimes H_j(X(\mathcal{TS}), X(\mathcal{TS})) \otimes H_0(X(\mathcal{TS}), X(P, Q)) \\ \longrightarrow H_j(X(P, Q), X(P, Q)) \end{aligned}$$

we deduce that there is an isomorphism

$$HE_j(S, S) \simeq H_j(X(P, Q), X(P, Q)).$$

For $\phi \in HE_*(S, S)$ this is given explicitly by $\phi \mapsto i^{-1} \cdot \phi \cdot i$. Taking the left product with γ produces a map

$$HE_j(S, S) \rightarrow H_{j+1}(X(\mathcal{TQ}), X(P, Q)), \quad \phi \mapsto \gamma \cdot i^{-1} \cdot \phi \cdot i.$$

If we now take the product on the right with $i^{-1} \in H_0(X(P, Q), X(\mathcal{TS}))$ and use the identification $H_*(X(\mathcal{TQ}), X(\mathcal{TS})) = HE_*(Q, S)$ we conclude that the connecting homomorphism $HE_j(S, S) \rightarrow HE_{j+1}(Q, S)$ may be described by the formula

$$\phi \mapsto \gamma \cdot i^{-1} \cdot \phi,$$

for any $\phi \in HE_j(S, S)$. In particular, when $\phi = 1_S$ we obtain

$$\delta_1(1_S) = \gamma \cdot i^{-1}.$$

The exact sequence (α) also leads to the following exact sequence:

$$\begin{aligned} 0 \rightarrow \text{Hom}(X(\mathcal{TQ}), X(P, Q)) \rightarrow \text{Hom}(X(\mathcal{TQ}), X(\mathcal{TP})) \\ \rightarrow \text{Hom}(X(\mathcal{TQ}), X(\mathcal{TQ})) \rightarrow 0 \end{aligned}$$

which in turn induces a corresponding exact sequence of bivariate homology groups of length six.

The existence of a bounded linear splitting s of the sequence (α) implies that $X(\mathcal{TP})$ splits as a direct sum of $\mathbb{Z}/2\mathbb{Z}$ -graded vector spaces:

$$X(\mathcal{TP}) = X(\mathcal{TQ}) \oplus X(P, Q)$$

It then follows that there is a complementary splitting of the sequence (α) given by $s' = 1 - s$. It is now not difficult to see that the two connecting homomorphisms

$$H_j(X(\mathcal{TQ}), X(\mathcal{TQ})) \rightarrow H_{j+1}(X(\mathcal{TQ}), X(P, Q))$$

for $j = 0, 1$ are given by left multiplication by the element $[\partial, s'] = -[\partial, s] = -\gamma$.

We can find an explicit formula for the connecting homomorphism

$$\delta_2 : \text{HE}_j(Q, Q) \rightarrow \text{HE}_{j+1}(Q, S)$$

as follows. We multiply on the left by $-\gamma$ to construct a map

$$\text{HE}_j(Q, Q) := \text{H}_j(X(\mathcal{T}Q), X(\mathcal{T}Q)) \xrightarrow{-\gamma} \text{H}_{j+1}(X(\mathcal{T}Q), X(P, Q)).$$

Multiplication on the right by $i^{-1} \in \text{H}_0(X(P, Q), X(\mathcal{T}S))$ gives a map

$$\text{H}_{j+1}(X(\mathcal{T}Q), X(P, Q)) \xrightarrow{i^{-1}} \text{H}_{j+1}(X(\mathcal{T}Q), X(\mathcal{T}S)) = \text{HE}_{j+1}(Q, S).$$

Thus the formula for the connecting homomorphism δ_2 is

$$\delta_2(\psi) = -\gamma \cdot \psi \cdot i^{-1},$$

for any $\psi \in \text{HE}_j(Q, Q)$. In particular, when $\psi = 1_Q$ we have

$$\delta_2(1_Q) = -\gamma \cdot i^{-1} = -\delta_1(1_S)$$

□

This result can be extended to provide formulae for connecting homomorphisms in exact sequences of Theorem 2.3. Both of the excision exact sequences are natural. In the case of the sequence (1) this means that there exists a commutative diagram

$$\begin{array}{ccc} \text{HE}_j(A, Q) \times \text{HE}_0(Q, Q) & \xrightarrow{m} & \text{HE}_j(A, Q) \\ 1 \otimes \delta_2 \downarrow & & \downarrow \mathbf{d}_1 \\ \text{HE}_j(A, Q) \times \text{HE}_1(Q, S) & \xrightarrow{m} & \text{HE}_{j+1}(A, S) \end{array}$$

where m denotes the product map and \mathbf{d}_1 denotes the connecting homomorphism in the diagram (1) of Theorem 2.3, for $j = 0, 1$.

Taking into account the usual sign convention we have that

$$\mathbf{d}_1(\phi \cdot \psi) = m(1 \otimes \delta_2)(\phi \otimes \psi) = m((-1)^{\deg(\phi)}(\phi \otimes \delta_2(\psi))) = (-1)^{\deg(\phi)}\phi \cdot \delta_2(\psi)$$

for $\phi \in \text{HE}_j(A, Q)$ and $\psi \in \text{HE}_0(Q, Q)$. Hence

$$\mathbf{d}_1(\phi) = \mathbf{d}_1(\phi \cdot 1_Q) = (-1)^{\deg(\phi)}\phi \cdot \delta_2(1_Q)$$

Similarly, we obtain a formula for the connecting homomorphism \mathbf{d}_2 in the exact sequence (2). In this case the naturality of this sequence implies that there exists the following commutative diagram

$$\begin{array}{ccc} \text{HE}_0(S, S) \times \text{HE}_j(S, A) & \xrightarrow{m} & \text{HE}_j(S, A) \\ \delta_1 \otimes 1 \downarrow & & \downarrow \mathbf{d}_2 \\ \text{HE}_1(Q, S) \times \text{HE}_j(S, A) & \xrightarrow{m} & \text{HE}_{j+1}(Q, A) \end{array}$$

Hence, for $\phi \in \mathrm{HE}_0(S, S)$ and $\phi \in \mathrm{HE}_j(S, A)$ we have

$$\mathbf{d}_2(\phi \cdot \psi) = m(\delta_1 \otimes 1)(\phi \otimes \psi) = \delta_1(\phi) \cdot \psi$$

Thus

$$\mathbf{d}_2(\psi) = \mathbf{d}_2(1_S \cdot \psi) = \delta_1(1_S) \cdot \psi.$$

In summary, we have obtained the proof of the following proposition, which extends an analogous result of Kassel [13, Thm 2.1, Lemme 2.2] (see also [9, Thm 5.5]).

Proposition 3.3. *Let us denote by $\mathrm{ch}(E)$ the class $-\delta_1(1_S) = \delta_2(1_Q)$ of the extension E . Then the connecting homomorphism \mathbf{d}_1 in the exact sequence (1) sends $\phi \in \mathrm{HE}_j(A, Q)$ to $(-1)^{\deg(\phi)} \phi \cdot \mathrm{ch}(E) \in \mathrm{HE}_{j+1}(A, S)$. The connecting homomorphism \mathbf{d}_2 in the sequence (2) sends $\psi \in \mathrm{HE}_j(S, A)$ to $\mathrm{ch}(E) \cdot \psi \in \mathrm{HE}_{j+1}(Q, A)$.*

This implies, as in [13][9], the following.

Corollary 3.4. *If the algebra P in the extension E is HE -equivalent to 0, which means that $\mathrm{HE}_*(A, P) = \mathrm{HE}_*(P, A) = 0$ for any bornological algebra A , then $\mathrm{ch}(E)$ is an invertible element in $\mathrm{HE}_1(Q, S)$.*

Proof. Let us put $A = S$ in the sequence (1) and then $A = Q$ in the sequence (2) of Theorem 2.3. Since the terms containing the algebra P are zero, we see that the connecting homomorphisms \mathbf{d}_1 and \mathbf{d}_2 are now isomorphisms. In particular, there exists $\eta_1 \in \mathrm{HE}_1(S, Q)$ such that $\mathbf{d}_1(\eta_1) = 1_S \in \mathrm{HE}_0(S, S)$. Similarly, there exists $\eta_2 \in \mathrm{HE}_1(S, Q)$ such that $\mathbf{d}_2(\eta_2) = 1_Q \in \mathrm{HE}_0(Q, Q)$. But we have just established that

$$\mathbf{d}_1(\eta_1) = \eta_1 \cdot \mathrm{ch}(E) = 1_S$$

and that

$$\mathbf{d}_2(\eta_2) = \mathrm{ch}(E) \cdot \eta_2 = 1_Q$$

This implies that $\eta_1 = \eta_2$. Indeed,

$$\eta_1 = \eta_1 \cdot 1_Q = \eta_1 \cdot \mathrm{ch}(E) \cdot \eta_2 = 1_S \cdot \eta_2 = \eta_2.$$

Thus $\eta = \eta_1 = \eta_2 \in \mathrm{HE}_1(S, Q)$ is the inverse of $\mathrm{ch}(E) \in \mathrm{HE}_1(Q, S)$. \square

The rest of the proof of the theorem follows an argument of Cuntz [5, Satz 6.12][6], who used it to prove an analogous result in his kk theory and periodic cyclic homology.

Proposition 3.5. *Let us assume that for two complete bornological algebras A and B there are maps*

$$\begin{aligned} \alpha : B &\hookrightarrow A \\ \beta : A \otimes A &\rightarrow B \end{aligned}$$

such that the composition $\alpha \circ \beta$ is identical to the product map on A , whereas $\beta \circ \alpha \otimes \alpha$ is the product on B . Then the element $[\alpha]$ of $\mathrm{HE}_0(B, A)$ is invertible. This implies that $\mathrm{HE}^*(A) \simeq \mathrm{HE}^*(B)$ and $\mathrm{HE}_*(B) \simeq \mathrm{HE}_*(A)$.

Proof. We present here a more explicit version of Cuntz's argument, which is adapted to the context of entire cyclic homology.

We equip the Fréchet algebra $C^\infty([0, 1])$ of smooth functions with the von Neumann bornology; in the present case this bornology coincides with the pre-compact bornology.

If A is a complete bornological algebra, define

$$A[0, 1] = C^\infty([0, 1]) \hat{\otimes} A$$

We denote by $A(0, 1]$ the algebra of smooth functions from the *closed* interval $[0, 1]$ to A which vanish at zero; we use the notation $A(0, 1)$, $A[0, 1)$ to denote the algebras of smooth functions from the interval $[0, 1]$ to A that vanish at both ends of the interval or just at 1. The algebra $A[0, 1)$ is contractible to zero: the family of maps ϕ_t that send a function f to $\phi_t(f)(x) = f((1-t)x)$ forms a homotopy between the identity map and evaluation at 1 (which is the same as the zero map).

There is the following suspension extension:

$$\mathfrak{S}(A) : 0 \rightarrow A(0, 1) \rightarrow A[0, 1) \rightarrow A \rightarrow 0$$

where the map on the right is given by evaluation at 0. Since the algebra $A[0, 1)$ is contractible it is HE-equivalent to zero. We can therefore use Corollary 3.4 to deduce that the class $\mathrm{ch} \mathfrak{S}(A) = -\delta_1(1_{A(0, 1)}) = \delta_2(1_A) \in \mathrm{HE}_1(A, A(0, 1))$ is invertible, for any complete bornological algebra A .

Let A and B be complete bornological algebras as in the statement of the Proposition. We denote by \mathcal{B} the complete bornological algebra generated by the algebra $B(0, 1)$ together with the algebra $At = \{f_a \mid a \in A\}$ consisting of functions $f_a : [0, 1] \rightarrow A$ which for a fixed $a \in A$ send $t \mapsto ta$. As a vector space, \mathcal{B} is the direct sum of the two algebras. The product on \mathcal{B} is defined using the pointwise product on $B(0, 1)$ together with the following two operations. The product of a function $f \in B(0, 1)$ by an element $g_a \in At$ is given by $\mu(\alpha(f) \otimes g_a)$. Finally, the product of two functions f_a and f_b is the function $g(a, b) + f_{\alpha\mu(a \otimes b)}$ where

$$g(a, b)(t) = \mu(a \otimes b)(t^2 - t).$$

With these definitions we have the following extension of complete bornological algebras

$$0 \rightarrow B(0, 1) \rightarrow \mathcal{B} \rightarrow A \rightarrow 0$$

which admits a bounded linear splitting. Proposition 3.2 implies that this extension creates an element $u \in \mathrm{HE}_1(A, B(0, 1))$.

The homomorphism $\alpha : B \rightarrow A$ gives rise to an element $[\alpha] \in \mathrm{HE}_0(B, A)$. We need to show that it is invertible. For this we construct first the following diagram:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & B(0, 1) & \longrightarrow & B[0, 1) & \longrightarrow & B & \longrightarrow & 0 \\ & & \downarrow & & \downarrow id + \alpha & & \downarrow \alpha & & \\ 0 & \longrightarrow & B(0, 1) & \longrightarrow & \mathcal{B} & \longrightarrow & A & \longrightarrow & 0 \end{array}$$

Using the first of the two excision sequences we obtain the following commutative diagram:

$$\begin{array}{ccccccc} \mathrm{HE}_0(B(0, 1]) & \longrightarrow & \mathrm{HE}_0(B) & \xrightarrow{\cdot \mathrm{ch}(\mathfrak{S}(B))} & \mathrm{HE}_1(B(0, 1)) & \longrightarrow & \\ \downarrow & & \alpha \downarrow & & \parallel & & \\ \mathrm{HE}_0(\mathcal{B}) & \longrightarrow & \mathrm{HE}_0(A) & \xrightarrow{\cdot u} & \mathrm{HE}_1(B(0, 1)) & \longrightarrow & \end{array}$$

Here the two connecting homomorphisms on the right are in accordance with Proposition 3.3 whereas the vertical map in the middle is given by taking the product on the right with $\alpha \in \mathrm{HE}_0(B, A)$. Since the diagram commutes we see that for any $\phi \in \mathrm{HE}_0(B)$

$$\phi \cdot \alpha \cdot u = \phi \cdot \mathrm{ch}(\mathfrak{S}(B))$$

Given that $\mathrm{ch}(\mathfrak{S})$ is invertible we find that $\alpha \cdot u \cdot \mathrm{ch}(\mathfrak{S}(B))^{-1} = 1 \in \mathrm{HE}_0(B, B)$.

We now employ the following commutative diagram:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & B(0, 1) & \longrightarrow & \mathcal{B} & \longrightarrow & A & \longrightarrow & 0 \\ & & \alpha \downarrow & & \downarrow \alpha & & \parallel & & \\ 0 & \longrightarrow & A(0, 1) & \longrightarrow & A[0, 1) & \longrightarrow & A & \longrightarrow & 0 \end{array}$$

where the vertical map on the left is the obvious extension of α to functions. Using excision again, this translates to the following commutative diagram of homology groups:

$$\begin{array}{ccccccc} \mathrm{HE}_0(\mathcal{B}) & \longrightarrow & \mathrm{HE}_0(A) & \xrightarrow{\cdot u} & \mathrm{HE}_1(B(0, 1)) & \longrightarrow & \\ \alpha \downarrow & & \parallel & & \downarrow \alpha & & \\ \mathrm{HE}_0(A[0, 1)) & \longrightarrow & \mathrm{HE}_0(A) & \xrightarrow{\cdot \mathrm{ch}(\mathfrak{S}(A))} & \mathrm{HE}_1(A(0, 1)) & \longrightarrow & \end{array}$$

If we take into account the isomorphism of homology groups $\mathrm{HE}_1(B(0, 1)) = \mathrm{HE}_0(B)$ provided by the extension extension (and similarly in the case

of the bottom row) we obtain the commutative diagram:

$$\begin{array}{ccccc}
\mathrm{HE}_0(A) & \xrightarrow{\cdot u} & \mathrm{HE}_1(B(0, 1)) & \xrightarrow{\cdot \mathrm{ch}(\mathfrak{S}(B))^{-1}} & \mathrm{HE}_0(B) \\
\parallel & & \downarrow \alpha & & \downarrow \alpha \\
\mathrm{HE}_0(A) & \xrightarrow{\cdot \mathrm{ch} \mathfrak{S}(A)} & \mathrm{HE}_1(A(0, 1)) & \xrightarrow{\cdot \mathrm{ch}(\mathfrak{S}(A))^{-1}} & \mathrm{HE}_0(A)
\end{array}$$

It is now clear that $u \cdot \mathrm{ch}(\mathfrak{S}(B))^{-1} \cdot \alpha = 1 \in \mathrm{HE}_0(A, A)$. Thus $\alpha \in \mathrm{HE}_0(B, A)$ is invertible, with inverse $u \cdot \mathrm{ch}(B(0, 1))^{-1} \in \mathrm{HE}_0(A, B)$. \square

To finish the proof of the theorem we let $B = \mathfrak{L}^p$ and $A = \mathfrak{L}^q$, where $p \leq q \leq 2p$. The map α of the previous statements is obtained from the continuous inclusion $\mathfrak{L}^p \rightarrow \mathfrak{L}^q$ and the map β from the multiplication map $\mathfrak{L}^q \hat{\otimes} \mathfrak{L}^q \rightarrow \mathfrak{L}^p$. This completes the proof of Theorem 3.1.

Corollary 3.6. *Let \mathfrak{B} be a complete bornological algebra. Then for any $1 \leq p < q$ the inclusion $\mathfrak{L}^p \hat{\otimes} \mathfrak{B} \rightarrow \mathfrak{L}^q \hat{\otimes} \mathfrak{B}$ induces an invertible element in bivariant entire cyclic cohomology $\mathrm{HE}^0(\mathfrak{L}^p \hat{\otimes} \mathfrak{B}, \mathfrak{L}^q \hat{\otimes} \mathfrak{B})$. Thus the entire cyclic homology and cohomology of the algebras $\mathfrak{L}^p \hat{\otimes} \mathfrak{B}$ and $\mathfrak{L}^q \hat{\otimes} \mathfrak{B}$ are isomorphic.*

4. HOCHSCHILD HOMOLOGY OF \mathfrak{L}^1

In the previous section we have established HE^* -equivalence of the Schatten ideals \mathfrak{L}^p for all $p \geq 1$. In this section we prove that the ideal of trace class operators \mathfrak{L}^1 is HE^* -equivalent to \mathbb{C} . Although this was proved in [15] in the context of the bivariant theory, we present here a simple direct proof which relies on results about Hochschild homology of \mathfrak{L}^1 .

Let E and F be two Banach spaces in duality relative to a non-degenerate bilinear form $\langle -, - \rangle : E \times F \rightarrow \mathbb{C}$. Then the tensor product of these spaces can be turned into an algebra with the multiplication defined by

$$(x \otimes y)(x' \otimes y') = \langle x', y' \rangle x \otimes y'$$

In the case where E is a Hilbert space H and F is its continuous dual H^* , we have that $H \hat{\otimes} H^* = N(H)$, where $N(H)$ is the algebra of nuclear operators on H . When H is separable, the algebra of nuclear operators is isomorphic to the algebra of trace class operators \mathfrak{L}^1 .

Furthermore, Helemskii proves in [11, Ch. IV] that the algebra of nuclear operators $N(H)$, hence the algebra of trace class operators \mathfrak{L}^1 is biprojective, which means that it is a projective bimodule over itself. It is also proved in [11, Theorem V.2.28] that for a biprojective Banach algebra A we have $H^3(A, X) = 0$ for any Banach bimodule X . It then follows [14] that there exists a connection that provides a uniformly

bounded contracting homotopy of the Hochschild complex for A (with coefficients in A). This implies by the perturbation mapping lemma that the canonical inclusion $\mathrm{HP}^*(A) \rightarrow \mathrm{HE}^*(A)$ is an isomorphism [14]. On the left-hand side of this map, we regard A as a *topological* algebra and define $\mathrm{HP}^*(A)$ via the projective tensor product.

To summarise, this sequence of arguments shows that $\mathrm{HP}^*(\mathfrak{L}^1) \simeq \mathrm{HE}^*(\mathfrak{L}^1)$. Finally, Cuntz proves in [6, Prop. 17.3] that $\mathrm{HP}^*(\mathfrak{L}^1) = \mathrm{HP}^*(\mathbb{C})$ and $\mathrm{HP}_*(\mathfrak{L}^1) = \mathrm{HP}_*(\mathbb{C})$. We summarise these results as follows.

Proposition 4.1. *The algebra \mathfrak{L}^1 is HE-equivalent to \mathbb{C} . It follows from Theorem 3.1 that the same is true for any \mathfrak{L}^p , $p \geq 1$.*

5. CANONICAL CLASSES ASSOCIATED WITH p -SUMMABLE FREDHOLM MODULES

In this section we use our calculations to put some known results concerning characters of Fredholm modules in a new context. Let A be an involutive algebra over \mathbb{C} .

We begin with the odd case. We recall that an odd p -summable Fredholm module over A is given by the data (H, π, F) , where $\pi : A \rightarrow \mathfrak{L}(H)$ is a representation the algebra A on a Hilbert space H , and F is a self-adjoint involution which commutes with π modulo \mathfrak{L}^p [4, p. 208].

Let P be the corresponding spectral projection onto the $+1$ eigenspace. Let $\sigma : A \rightarrow \mathfrak{L}(H)$ be a linear map defined by $\sigma(a) = P\pi(a)P$ for all $a \in A$, where π is the representation of A as bounded operators on Hilbert space as required by the structure of a Fredholm module. The goal of this section is to construct canonical classes in the periodic and entire cohomology of the algebra A . Our construction relies on an idea of Cuntz and Quillen [7], and follows the method outlined by Cuntz in [5, 6].

Let $A' = \mathfrak{L}^p + \sigma(A)$. This is a subalgebra of $\mathfrak{L}(H)$. The Schatten ideal \mathfrak{L}^p is then an ideal in the algebra A' and we have the following short exact sequence of algebras, which is \mathbb{C} -split:

$$(3) \quad 0 \rightarrow \mathfrak{L}^p \rightarrow A' \rightarrow A'/\mathfrak{L}^p \rightarrow 0$$

The linear map σ can be viewed as a map $\sigma : A \rightarrow A'$, which gives rise to an algebra homomorphism $\sigma : TA \rightarrow A'$ which has the important property that it sends the canonical ideal $IA \subset TA$ to the Schatten class \mathfrak{L}^p . In other words, we have the following commutative diagram

of short exact sequences:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathfrak{L}^p & \longrightarrow & A' & \longrightarrow & A'/\mathfrak{L}^p \longrightarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longrightarrow & JA & \longrightarrow & TA & \longrightarrow & A \longrightarrow 0
 \end{array}$$

The algebra homomorphism $JA \rightarrow \mathfrak{L}^p$ gives rise to an element in $\mathrm{HP}_0(JA, \mathfrak{L}^p) = \mathrm{HP}_1(A, \mathfrak{L}^p)$ and so to a map in cohomology $\mathrm{HP}^*(\mathfrak{L}^p) \rightarrow \mathrm{HP}^*(JA) = \mathrm{HP}^{*+1}(A)$. Given that $\mathrm{HP}^0(\mathfrak{L}^p) = \mathbb{C}$ and $\mathrm{HP}^1(\mathfrak{L}^p) = 0$, an odd Fredholm module determines a canonical element in $\mathrm{HP}^1(A)$. This is the character of a p -summable Fredholm module that was first constructed by Connes in [2].

Our discussion of bornological algebras allows us to extend this idea to entire cyclic cohomology. Let A be a complete bornological algebra. Applying the same reasoning as above to the canonical extension of complete bornological algebras

$$0 \rightarrow \mathcal{J}A \rightarrow \mathcal{T}A \rightarrow A \rightarrow 0$$

produces an element of $\mathrm{HE}_1(\mathcal{J}A, \mathfrak{L}^p)$ and so a map $\mathrm{HE}^*(\mathfrak{L}^p) \rightarrow \mathrm{HE}^*(\mathcal{J}A) = \mathrm{HE}^{*+1}(A)$. Again, since we have that $\mathrm{HE}^0(\mathfrak{L}^p) = \mathbb{C}$ and $\mathrm{HE}^1(\mathfrak{L}^p) = 0$, an odd p -summable Fredholm module determines a canonical class in $\mathrm{HE}^1(A)$. Furthermore, because of of HP and HE equivalence of Schatten ideals, these classes are independent of $1 \leq p < \infty$.

We remark that the canonical classes so constructed are compatible, in the sense that we have the following commutative diagram

$$\begin{array}{ccc}
 \mathrm{HE}^0(\mathfrak{L}^p) & \longrightarrow & \mathrm{HE}^1(A) \\
 \simeq \uparrow & & \uparrow \\
 \mathrm{HP}^0(\mathfrak{L}^p) & \longrightarrow & \mathrm{HP}^1(A)
 \end{array}$$

It is not difficult to work out the well-known explicit formulae for these characters; these were first derived by Connes in [2], compare [6, Ch. 19].

An *even* p -summable Fredholm module over an involutive \mathbb{C} algebra A is given by the data (H, π, F, γ) , where γ is a self-adjoint involution on the Hilbert space H (this Hilbert space is thus $\mathbb{Z}/2\mathbb{Z}$ -graded) and the representation $\pi : A \rightarrow \mathfrak{L}(H)$ commutes with this involution (and so A is represented by even operators with respect to the grading). F and γ anticommute and for each $a \in A$, $[F, \pi(a)] \in \mathfrak{L}^p$.

In this situation we have a different algebra extension:

$$(4) \quad 0 \rightarrow \mathfrak{L}^p \rightarrow A_\gamma \rightarrow A_\gamma/\mathfrak{L}^p \rightarrow 0$$

where the algebra A_γ is generated by \mathfrak{L}^p and $\pi(A)$. This sequence has two linear splittings: π and $\pi^F(a) = F\pi(a)F$, see [6, Ch. 19]. In the context on periodic cyclic cohomology this extension leads to the well known canonical character of Connes:

$$\mathrm{HP}^0(\mathfrak{L}^p) \rightarrow \mathrm{HP}^0(A)$$

which again is independent of p . This construction carries over to the case when the algebra A is a complete bornological algebra; in particular the algebra extension (4) becomes an extension of complete bornological algebras. This extension gives rise to a map $\mathrm{HE}^0(\mathfrak{L}^p) \rightarrow \mathrm{HE}^0(A)$ which represents the character of an even Fredholm module. The two constructions, one in the context of periodic cyclic cohomology and the other for the entire cyclic cohomology, are compatible in the sense that there exists the following commutative diagram:

$$\begin{array}{ccc} \mathrm{HE}^0(\mathfrak{L}^p) & \longrightarrow & \mathrm{HE}^0(A) \\ \simeq \uparrow & & \uparrow \\ \mathrm{HP}^0(\mathfrak{L}^p) & \longrightarrow & \mathrm{HP}^0(A) \end{array}$$

These remarks may be summarised as follows. Let A be a complete involutive bornological algebra over \mathbb{C} . Let α be an odd p -summable Fredholm module over A , and let α_γ be an even p -summable Fredholm module over A . Let $\mathrm{ch}_E(\alpha) \in \mathrm{HE}^1(A)$ be the class in $\mathrm{HE}^1(A)$ determined by α , and $\mathrm{ch}_P(\alpha) \in \mathrm{HP}^1(A)$ be the class in $\mathrm{HP}^1(A)$ determined by α . Similarly, we denote by $\mathrm{ch}_E(\alpha_\gamma) \in \mathrm{HE}^0(A)$ and $\mathrm{ch}_P(\alpha_\gamma) \in \mathrm{HP}^0(A)$ the classes in $\mathrm{HE}^0(A)$ and $\mathrm{HP}^0(A)$ determined by α_γ .

Theorem 5.1. *Under the canonical inclusion*

$$\mathrm{HP}^*(A) \rightarrow \mathrm{HE}^*(A),$$

$\mathrm{ch}_E(\alpha)$ is the image of $\mathrm{ch}_P(\alpha)$. In the even case, $\mathrm{ch}_E(\alpha_\gamma)$ is the image of $\mathrm{ch}_P(\alpha_\gamma)$.

Corollary 5.2. *The class $\mathrm{ch}_E(\alpha) \in \mathrm{HE}^1(A)$ can be represented by a periodic cyclic cocycle. The class $\mathrm{ch}_E(\alpha_\gamma) \in \mathrm{HE}^0(A)$ can be represented by a periodic cyclic cocycle.*

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